

| L Number | Hits   | Search Text  | DB                  | Time stamp       |
|----------|--------|--|---------------------|------------------|
| 1        | 1367   | wolff.in.  | USPAT;<br>US-PPGPUB | 2003/08/29 16:40 |
| 2        | 1601   | amphiphile   | USPAT;<br>US-PPGPUB | 2003/08/29 16:40 |
| 3        | 4      | wolff.in. and amphiphile   | USPAT;<br>US-PPGPUB | 2003/08/29 16:43 |
| 4        | 0      | amdamantan\$4  | USPAT;<br>US-PPGPUB | 2003/08/29 16:44 |
| 5        | 4100   | adamantan\$4   | USPAT;<br>US-PPGPUB | 2003/08/29 16:44 |
| 6        | 31630  | (peg and polyethylene) pegylat\$8 peo  | USPAT;<br>US-PPGPUB | 2003/08/29 16:46 |
| 7        | 522    | adamantan\$4 and ((peg and polyethylene)<br>peylat\$8 peo)                                       | USPAT;<br>US-PPGPUB | 2003/08/29 16:45 |
| 8        | 110676 | conjugat\$9 bioconjugat\$9   | USPAT;<br>US-PPGPUB | 2003/08/29 16:45 |
| 9        | 429    | (adamantan\$4 and ((peg and polyethylene)<br>peylat\$8 peo)) and (conjugat\$9<br>bioconjugat\$9) | USPAT;<br>US-PPGPUB | 2003/08/29 16:45 |
| 10       | 375385 | peg polyethylene pegylat\$8 peo  | USPAT;<br>US-PPGPUB | 2003/08/29 16:46 |
| 11       | 457    | adamantan\$4 same (peg polyethylene<br>peylat\$8 peo)  | USPAT;<br>US-PPGPUB | 2003/08/29 16:46 |
| 12       | 419    | (conjugat\$9 bioconjugat\$9) and<br>(adamantan\$4 same (peg polyethylene<br>peylat\$8 peo))      | USPAT;<br>US-PPGPUB | 2003/08/29 16:47 |

(FILE 'HOME' ENTERED AT 14:21:03 ON 29 AUG 2003)

FILE 'CPLUS' ENTERED AT 14:21:19 ON 29 AUG 2003

E AMIEL CATHERINE/IN,AU

L1        25 S E3-6  
            E SEBILLE BERNARD/IN,AU  
L2        195 S E2-8  
L3        206 S L1 OR L2  
L4        24238 S CYCLODEXTRIN  
L5        298679 S POLYETHYLENE  
L6        322180 S L4 OR L5  
L7        55 S L3 AND L6  
L8        737 S L4 AND L5  
L9        7 S L8 AND L3  
            E PUN SUZIE/IN,AU  
L10      4 S E5-6  
            E HWANG SUZIE/IN,AU  
L11      5 S E3-8  
L12      9 S L10 OR L11  
            E DAVIS MARK/IN,AU  
L13      339 S E3-4 OR E13-14  
            E GONZALEZ HECTOR/IN,AU  
L14      30 S E3-12  
            E BELLOCQ NATHALIE/IN,AU  
L15      16 S E2-5  
            E CHENG JIANJUN/IN,AU  
L16      26 S E3-4  
L17      395 S L12 OR L13 OR L14 OR L15 OR L16  
L18      26 S L6 AND L17

L18 ANSWER 1 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:666514 CAPLUS  
TITLE: Linear, cyclodextrin-based polymers for the delivery of broad ranging therapeutics  
AUTHOR(S): Cheng, Jianjun; Bellocq, Nathalie;  
Pun, Suzie Hwang; Khin, Kay T.; Liu, Aijie;  
Jensen, Gregory S.; Dartt, Christopher B.; Davis, Mark E.  
CORPORATE SOURCE: Insert Therapeutics, Inc., Pasadena, CA, 91107, USA  
SOURCE: Polymeric Materials Science and Engineering (2003), 89, 52  
CODEN: PMSEDG; ISSN: 0743-0515  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal; (computer optical disk)  
LANGUAGE: English  
AB Unavailable

L18 ANSWER 2 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:666072 CAPLUS  
TITLE: Synthesis of Linear, .beta.-Cyclodextrin-Based Polymers and Their Camptothecin Conjugates  
AUTHOR(S): Cheng, Jianjun; Khin, Kay T.; Jensen, Gregory S.; Liu, Aijie; Davis, Mark E.  
CORPORATE SOURCE: Insert Therapeutics Inc., Pasadena, CA, 91107, USA  
SOURCE: Bioconjugate Chemistry ACS ASAP  
CODEN: BCCHE; ISSN: 1043-1802  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
AB 6A,6D-Bis-(2-amino-2-carboxylethylthio)-6A,6D-dideoxy-.beta.-cyclodextrin 1, a diamino acid deriv. of .beta.-cyclodextrin, is synthesized and condensed with difunctionalized PEG comonomers to give linear, high mol. wt. (Mw over 50 kDa) .beta.-cyclodextrin-based polymers (2-4) with pendant functionality (carboxylate). 2-4 are all highly sol. in aq. solns. (over 200 mg/mL). 20-O-trifluoroglycinylcamptothecin, 5a, and 20-O-trifluoroglycylglycinylglycinylcamptothecin, 5b, are synthesized and conjugated to 2 to give polymer-camptothecin (CPT) prodrugs. The solv. of CPT is increased by more than three orders of magnitude when it is conjugated to 2. The rates of CPT release from the conjugates HGGG6 (high mol. wt. polymer (Mw 97 kDa), glyglygly linker and 6 wt % CPT loading) and HG6 (high MW polymer (Mw 97 kDa), gly linker and 6 wt % CPT loading) in either mouse or human plasma are dramatically accelerated over the rates of pure hydrolysis at pH = 7.4, indicating the presence of enzymic cleavage as a rate-detg. step at this pH in the release of the CPT. The pH of aq. soln. has a large effect on hydrolysis rate of CPT from HGGG6 and HG6; the lower the pH, the slower the rate in the range at 4.1 .ltoreq. pH .ltoreq. 13.1. The IC50's of polymer 2e, CPT, and the CPT conjugates HG6 and HGGG6 are found to be cell-line dependent with LS174T, HT29, A2780, and PC3 cells using in vitro MTT assays. The parent polymer 2e has very low toxicity to all cultured cells tested.

L18 ANSWER 3 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:636419 CAPLUS  
TITLE: Linear, cyclodextrin-based polymers for the delivery of broad ranging therapeutics  
AUTHOR(S): Cheng, Jianjun; Bellocq, Nathalie;  
Pun, Suzie Hwang; Khin, Kay T.; Jensen, Gregory S.; Liu, Aijie; Dartt, Christopher B.;  
Davis, Mark E.  
CORPORATE SOURCE: Insert Therapeutics, Inc, Pasadena, CA, 91107, USA  
SOURCE: Abstracts of Papers, 226th ACS National Meeting, New York, NY, United States, September 7-11, 2003 (2003), PMSE-034. American Chemical Society: Washington, D. C.  
CODEN: 69EKY9  
DOCUMENT TYPE: Conference; Meeting Abstract  
LANGUAGE: English  
AB Linear, water-sol., cyclodextrin-contg. polymers are a new class of biocompatible materials that can be designed to provide desired properties and characteristics that are not achievable with other polymer delivery systems. A generalized synthetic strategy for these materials, a brief overview of their properties and results in animal models supporting their use as delivery vehicles for small mol. drugs, plasmid DNA, and oligonucleotides will be presented.

L18 ANSWER 4 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:495047 CAPLUS  
DOCUMENT NUMBER: 139:128714

TITLE: The effects of structure on gene delivery with linear .beta.- and .gamma.-cyclodextrin-containing polycations

AUTHOR(S): Popielarski, Stephen R.; Mishra, Swaroop; Davis, Mark E.

CORPORATE SOURCE: Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA

SOURCE: Journal of Inclusion Phenomena and Macrocyclic Chemistry (2002), Volume Date 2003, 44(1-4), 453-457

CODEN: JIPCF5; ISSN: 1388-3127

PUBLISHER: Kluwer Academic Publishers

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Cyclodextrin (CD)-contg. polycations are prep'd. by copolymer. of 3A3B-dideoxy-3A,3B-diamino-.beta.- and .gamma.-CDs with di-Me suberimidate.cndot.2HCl to yield polyamidine products. Both alkyl- and alkoxy-diamines are used to vary the spacing between the CD and the amidine charge centers. It is found that the transfection efficiency and toxicity of such polycations is dramatically affected by the structure of the spacer sepg. the CD ring from the charge centers and less so by the type of CD used.

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 5 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:494960 CAPLUS

TITLE: Cyclodextrin-containing polymers for gene delivery

AUTHOR(S): Davis, Mark E.; Bellocq, Nathalie C.

CORPORATE SOURCE: Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA

SOURCE: Journal of Inclusion Phenomena and Macrocyclic Chemistry (2002), Volume Date 2003, 44(1-4), 17-22

CODEN: JIPCF5; ISSN: 1388-3127

PUBLISHER: Kluwer Academic Publishers

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Cyclodextrin-contg. polymers are now being explored as vehicles for delivering nucleic acids into cells. The structures of the cyclodextrin-contg. polycations affect the nucleic acid delivery efficiencies and their toxicities. Of interest is the fact that the cyclodextrin-contg. polymers reveal lower toxicities than polymers that lack the cyclodextrins. The cyclodextrins endow the nucleic acid delivery vehicles with the ability to be modified by compds. that form inclusion complexes with the cyclodextrins, and these modifications can be performed without disruption of the polymer-nucleic acid interactions. Thus, cyclodextrin-contg. polymers provide unique properties for gene delivery.

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 6 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:381559 CAPLUS  
DOCUMENT NUMBER: 138:358341

TITLE: Optimization of cyclodextrin-containing polymers specifically designed for gene delivery

AUTHOR(S): Bellocq, Nathalie C.; Hwang, Sue J.; Davis, Mark E.

CORPORATE SOURCE: Dep. of Chem. Eng., California Inst. of Technol., Pasadena, CA, 91125, USA

SOURCE: Polymeric Materials Science and Engineering (2001), 84, 809-810

CODEN: PMSEDG; ISSN: 0743-0515

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Cationic polymers synthesized by copolymer. cyclodextrin -dicycteamine with a difunctionalized comonomer are able to self-assemble with DNA and transfet cultured cells. The structure of .beta.- cyclodextrin polymers dets. performance in DNA delivery cell toxicity. The low toxicity of theses polymers make them attractive agents for gene delivery applications.

REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 7 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:227005 CAPLUS  
DOCUMENT NUMBER: 138:358338

TITLE: Structural effects of carbohydrate-containing polycations on gene delivery. 3.cyclodextrin

AUTHOR(S): type and functionalization  
Popielarski, Stephen R.; Mishra, Swaroop; Davis,  
Mark E.  
CORPORATE SOURCE: Chemical Engineering, California Institute of  
Technology, Pasadena, CA, 91125, USA  
SOURCE: Bioconjugate Chemistry (2003), 14(3), 672-678  
CODEN: BCCHE; ISSN: 1043-1802  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
AB Linear cationic .beta.-cyclodextrin (.beta.-CD)-based polymers can form polyplexes with plasmid DNA and transfect cultured cells. The effectiveness of the gene delivery and the cellular toxicity has been related to structural features in these polycations. Previous .beta.-CD polycations were prep'd. from the cocondensation of 6A,6D-dideoxy-6A,6D-diamino-.beta.-CD monomers with other difunctionalized monomers such as di-Me suberimidate (DMS). Here, the type of CD and its functionalization are varied by synthesizing numerous 3A,3B-dideoxy-3A,3B-diamino-.beta.- and .gamma.-CD monomers. Both alkyl- and alkoxydiamines are prep'd. in order to vary the nature of the spacing between the CD and the primary amines in the monomers. These diamino-CD-monomers are polym'd. with DMS to yield amidine-based polycations. The nature of the spacer between the CD-ring and the primary amines of each monomer is found to influence both mol. wt. and polydispersity of the polycations. When these polycations are used to form polyplexes with plasmid DNA, longer alkyl regions between the CD and the charge centers in the polycation backbone increase transfection efficiency and toxicity in BHK-21 cells, while increasing hydrophilicity of the spacer (alkoxy vs. alkyl) provides for lower toxicity. Further, .gamma.-CD-based polycations are shown to be less toxic than otherwise identical .beta.-CD-based polycations.

REFERENCE COUNT: 20 THERE ARE 20 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 8 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:222644 CAPLUS  
TITLE: Structure-property investigation of trehalose and .beta.-cyclodextrin-based polycations for gene delivery  
AUTHOR(S): Reineke, Theresa M.; Davis, Mark E.  
CORPORATE SOURCE: Department of Chemistry, University of Cincinnati, Cincinnati, OH, 45255, USA  
SOURCE: Polymeric Materials Science and Engineering (2003), 88, 224-225  
CODEN: PMSEDG; ISSN: 0743-0515  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal; (computer optical disk)  
LANGUAGE: English  
AB Unavailable  
REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 9 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2003:185936 CAPLUS  
TITLE: Structure-property investigation of trehalose and .beta.-cyclodextrin-based polycations for gene delivery  
AUTHOR(S): Reineke, Theresa M.; Davis, Mark E.  
CORPORATE SOURCE: Department of Chemistry, University of Cincinnati, Cincinnati, OH, 45221, USA  
SOURCE: Abstracts of Papers, 225th ACS National Meeting, New Orleans, LA, United States, March 23-27, 2003 (2003), PMSE-138. American Chemical Society: Washington, D. C.  
CODEN: 69DSA4  
DOCUMENT TYPE: Conference; Meeting Abstract  
LANGUAGE: English

AB Polycations have the ability to bind plasmid DNA (pDNA) through electrostatic interactions and condense it into particles that can be readily taken up by cultured cells. Recent polycation structure-gene delivery studies have revealed that small changes in the mol. structure of polymeric vectors have substantial influences on DNA-binding and condensation, and on toxicity and gene delivery efficiency in vitro. The effects that structure has on toxicity and gene delivery efficiency are investigated here through synthesizing a series of amidine-based polycations that contain the carbohydrates trehalose and beta-cyclodextrin (CD) within the polymer backbone. The carbohydrate size (trehalose vs CD) and its distance from the DNA-binding charge centers affected the gene delivery behavior in BHK-21 cells. It was found that as the charge center was further removed from the carbohydrate unit, the toxicity increased. Also, as the size of the carbohydrate moiety

increased from trehalose to CD, the toxicity was reduced. The absence of a carbohydrate in the polycation backbone produced high toxicity in vitro. All carbohydrate amidine polycations transfected BHK-21 cells to approx. the same level of gene expression up to a charge ratio of 20 +/- . In addn., the effects that polycation charge center type had on toxicity and gene delivery efficiency was investigated. A series of quaternary ammonium polycations analogous to the amidine systems (contg.

N,N,N',N'-tetramethyl-1,6-hexanediamine, trehalose, and CD) were synthesized and studied for in vitro gene delivery and toxicity. In all cases, it was found that the quaternary ammonium analogs exhibited similar toxicity profiles but lower gene expression values to their amidine analogs with BHK-21 cells. Also, transfection expts. conducted in the presence of chloroquine revealed increased gene expression from the quaternary ammonium contg. polycations but not from the amidine systems. This result indicated that the amidine polycations have improved endosomal escape properties relative to the quaternary ammonium polymers.

L18 ANSWER 10 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 2002:965258 CAPLUS

DOCUMENT NUMBER: 138:175679

TITLE: Structural Effects of Carbohydrate-Containing Polycations on Gene Delivery. 2. Charge Center Type

AUTHOR(S): Reineke, Theresa M.; Davis, Mark E.

CORPORATE SOURCE: Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA

SOURCE: Bioconjugate Chemistry (2003), 14(1), 255-261

CODEN: BCCHE; ISSN: 1043-1802

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Recent polycation structure-gene delivery studies reveal that subtle changes in the mol. structure of polycations have substantial influences on DNA-binding and condensation and on in vitro toxicity and gene delivery efficiency. In Part 1 of this structure-property study using carbohydrate-contg. polycations it is demonstrated that as the amidine charge center is removed further from the carbohydrate unit within the polycation structure, the toxicity increases. Inclusion of larger carbohydrate species within the polycation backbone also reduces the toxicity. Here, the effect that polycation charge center type has on toxicity and gene delivery efficiency is investigated. A series of quaternary ammonium polycations contg. N,N,N',N'-tetramethyl-1,6-hexanediamine, D-trehalose, and .beta.-cyclodextrin are synthesized in order to elucidate the effects of charge center type (by comparison to the data given in Part 1) on gene delivery. In all cases, it is found that the quaternary ammonium analogs exhibit lower gene expression values and similar toxicities to their amidine analogs. Addnl., transfection expts. conducted in the presence of chloroquine reveal increased gene expression from quaternary ammonium contg. polycations and not from their amidine analogs.

REFERENCE COUNT: 24 THERE ARE 24 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 11 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 2002:965257 CAPLUS

DOCUMENT NUMBER: 138:175678

TITLE: Structural Effects of Carbohydrate-Containing Polycations on Gene Delivery. 1. Carbohydrate Size and Its Distance from Charge Centers

AUTHOR(S): Reineke, Theresa M.; Davis, Mark E.

CORPORATE SOURCE: Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA

SOURCE: Bioconjugate Chemistry (2003), 14(1), 247-254

CODEN: BCCHE; ISSN: 1043-1802

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Cationic polymers have the ability to bind plasmid DNA (pDNA) through electrostatic interactions and condense it into particles that can be readily endocytosed by cultured cells. The effects that polycation structure has on toxicity and gene delivery efficiency are investigated here by synthesizing a series of amidine-based polycations that contain the carbohydrates D-trehalose and .beta.-cyclodextrin (CD) within the polycation backbone. The carbohydrate size (trehalose vs CD) and its distance from the charge centers affect the gene delivery behavior in BHK-21 cells. It is found that as the charge center is further removed from the carbohydrate unit, the toxicity is increased. Also, as the size of the carbohydrate moiety is enlarged from trehalose to .beta.-cyclodextrin, the toxicity is reduced. The absence of a

carbohydrate in the polycation produces high toxicity. All carbohydrate polycations transfect BHK-21 cells to approx. the same level of gene expression.

REFERENCE COUNT: 28 THERE ARE 28 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 12 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 2002:487421 CAPLUS

DOCUMENT NUMBER: 137:47645

TITLE: Preparation of adamantyl-polyethylene glycol containing sugar and peptide residues and inclusion complexes as therapeutic agents

INVENTOR(S): Hwang, Pun Suzie; Gonzalez, Hector;  
Davis, Mark E.; Bellocq, Nathalie;

PATENT ASSIGNEE(S): California Institute of Technology, USA; Insert Therapeutics, Inc.

SOURCE: PCT Int. Appl., 138 pp.  
CODEN: PIXXD2

DOCUMENT TYPE: Patent  
LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.             | KIND   | DATE     | APPLICATION NO.   | DATE     |
|------------------------|--|----------|-------------------|----------|
| WO 2002049676          | A2   | 20020627 | WO 2001-US48620   | 20011219 |
| WO 2002049676          | A3   | 20021227 |                   |          |
| W:                     | AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM |          |                   |          |
| RW:                    | GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG   |          |                   |          |
| AU 2002029065          | A5   | 20020701 | AU 2002-29065     | 20011219 |
| US 2003008818          | A1   | 20030109 | US 2001-21312     | 20011219 |
| US 2003017972          | A1   | 20030123 | US 2001-21294     | 20011219 |
| PRIORITY APPLN. INFO.: |  |          | US 2000-256341P P | 20001219 |
|                        |  |          | US 2000-256344P P | 20001219 |
|                        |  |          | US 2001-293543P P | 20010529 |
|                        |  |          | WO 2001-US48620 W | 20011219 |

AB The invention provides a compn. contg. particulate composite of a polymer with a formula of adamantly-(CH<sub>2</sub>)<sub>n</sub>-Ja-PEGx-Lb-(functional group)<sub>y</sub> wherein J is NH, C(O)NH(CH<sub>2</sub>)<sub>d</sub>, NHC(O)(CH<sub>2</sub>)<sub>d</sub>, XH<sub>2</sub>SS, CO<sub>2</sub>, (CH<sub>2</sub>)<sub>e</sub>OPO(O)[O(CH<sub>2</sub>)<sub>e</sub>-adamantyl]O, peptide, polypeptide, NH(CO)CHR1NH(CO)CHR1NH; R1 is (CH<sub>2</sub>)<sub>a</sub>CO<sub>2</sub>H, (CH<sub>2</sub>)<sub>a</sub>CONH<sub>2</sub>; PEG is O(CH<sub>2</sub>CH<sub>2</sub>O)<sub>z</sub>; where z is 2-500; L is H, NH<sub>2</sub>, NH(CO)(CH<sub>2</sub>)<sub>e</sub>(CO)CH<sub>2</sub>, SO<sub>2</sub>CH<sub>2</sub>:CH<sub>2</sub>, SS, CO<sub>2</sub>, carbohydrate residue; a is 0-1, b is 0-1; d is 0-6; e is 1-6; yr is 0-1, x is 0-1, and a therapeutic agent. The compn. also contains a complexing agent. The polymer interacts with the complexing agent in a host-guest or a guest-host interaction to form an inclusion complex. A therapeutic compn. of the invention may be used to deliver the therapeutic agent and to treat various disorders. Both the polymer of the particulate composite and the complexing agent may be used to introduce functionality into the therapeutic compn. The invention also relates to a method of prepg. a compn. The method combines a therapeutic agent, a polymer having host or guest functionality, and a complexing agent having guest or host functionality to form the therapeutic compn. The complexing agent forms an inclusion complex with the polymer. The invention also relates to a method of delivering a therapeutic agent. According to the method, a therapeutically effective amt. of a therapeutic compn. of the invention is administered to a mammal (e.g. human or animal) in recognized need of the therapeutic.

L18 ANSWER 13 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 2002:299100 CAPLUS

DOCUMENT NUMBER: 137:164324

TITLE: Rational design of a new class of cyclodextrin-containing polymers for gene delivery

AUTHOR(S): Hwang, Suzie Jean

CORPORATE SOURCE: California Institute of Technology, Pasadena, CA, USA

SOURCE: (2001) 167 pp. Avail.: UMI, Order No. DA3015082

From: Diss. Abstr. Int., B 2001, 62(5), 2404

DOCUMENT TYPE: Dissertation

LANGUAGE: English

AB Unavailable

L18 ANSWER 14 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2002:294332 CAPLUS  
DOCUMENT NUMBER: 137:52241  
TITLE: Development of a Nonviral Gene Delivery Vehicle for Systemic Application  
AUTHOR(S): Pun, Suzie Hwang; Davis, Mark E.  
CORPORATE SOURCE: Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA  
SOURCE: Bioconjugate Chemistry (2002), 13(3), 630-639  
CODEN: BCCHE8; ISSN: 1043-1802  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
AB Polycation vehicles used for in vitro gene delivery require alteration for successful application in vivo. Modification of polycations by direct grafting of addnl. components, e.g., PEG, either before or after DNA complexation, tend to interfere with polymer/DNA binding interactions; this is a particular problem for short polycations such as linear, .beta.-cyclodextrin-contg. polycations (.beta.CDPs). Here, a new method of .beta.CDP polyplex (polycation/DNA composite structures) modification is presented that exploits the ability to form inclusion complexes between cyclodextrins and adamantane. Surface-PEGylated .beta.CDP polyplexes are formed by self-assembly of the polyplexes with adamantane-PEG conjugates. While unmodified polyplexes rapidly aggregate and ppt. in salt solns., the PEGylated .beta.CDP polyplexes are stable at conditions of physiol. salt concn. Addn. of targeting ligands to the adamantane-PEG conjugates allows for receptor-mediated delivery; galactosylated .beta.CDP-based particles reveal selective targeting to hepatocytes via the asialoglycoprotein receptor. Galactosylated particles transfect hepatoma cells with 10-fold higher efficiency than glucosylated particles (control), but show no preferential transfection in a cell line lacking the asialoglycoprotein receptor. Thus, surface modification of .beta.CDP-based polyplexes through the use of cyclodextrin /adamantane host/guest interactions endows the particles with properties appropriate for systemic application.  
REFERENCE COUNT: 40 THERE ARE 40 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 15 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2001:204150 CAPLUS  
TITLE: Optimization of cyclodextrin-polymers specifically designed for gene delivery  
AUTHOR(S): Bellocq, Nathalie C.; Hwang, Sue J.; Davis, Mark E.  
CORPORATE SOURCE: Department of Chemical Engineering, CALTECH, Pasadena, CA, 91125, USA  
SOURCE: Abstracts of Papers - American Chemical Society (2001), 221st, PMSE-446  
CODEN: ACSRAL; ISSN: 0065-7727  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal; Meeting Abstract  
LANGUAGE: English  
AB The current challenge in gene therapy is to develop a delivery method for transferring genetic material to desired cells in an effective, specific and non-toxic manner. Cationic polymers show promise for in vitro and in vivo delivery of DNA. Recently, we reported that linear, cationic .beta.-cyclodextrin contg. polymers (.beta.CDPs) are capable of delivering plasmid DNA to mammalian cells with low toxicity. .beta.CDPs were prep'd. by the polymn. of a difunctionalized .beta.-cyclodextrin comonomer A with a difunctionalized comonomer B to give an (AB)<sub>X</sub> product with X between 4 and 6. The .beta.CDPs have the following structure: Different .beta.CDPs were prep'd. by varying the structure of both comonomers A and B. We will show that the length of the "spacer group" Y (Y=0, 1) between the cup of the cyclodextrin and the cationic charge plays an important role in DNA binding. We will also show that some variations in the comonomer B, such as the no. of methylene units (Z=-CH<sub>2</sub>-n- with n=0,1, 2, 3, 4, 6), the use of biodegradable linkers (Z=-S-S-) or the use of pH sensitive linkers (Z=-NH-) have significant effects on in vitro transfection efficiencies and toxicities.

L18 ANSWER 16 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 2001:115591 CAPLUS  
DOCUMENT NUMBER: 134:300714  
TITLE: Effects of Structure of .beta.-Cyclodextrin -Containing Polymers on Gene Delivery  
AUTHOR(S): Hwang, Suzie J.; Bellocq, Nathalie C.; Davis, Mark E.  
CORPORATE SOURCE: Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA,

91125, USA  
 SOURCE: Bioconjugate Chemistry (2001), 12(2), 280-290  
 CODEN: BCCHE; ISSN: 1043-1802  
 PUBLISHER: American Chemical Society  
 DOCUMENT TYPE: Journal  
 LANGUAGE: English  
 AB Linear cationic  $\beta$ -cyclodextrin-based polymers ( $\beta$ -CDPs) are capable of forming polyplexes with nucleic acids and transfecting cultured cells. The  $\beta$ -CDPs are synthesized by the condensation of a diamino-cyclodextrin monomer A with a diimide comonomer B. In this paper, the effects of polymer structure on polyplex formation, *in vitro* transfection efficiency and toxicity are elucidated. By comparison of the  $\beta$ -CDPs to polyamidines lacking cyclodextrins, the inclusion of a cyclodextrin moiety in the comonomer A units reduces the IC<sub>50</sub>s of the polymer by up to 3 orders of magnitude. The spacing between the cationic amidine groups is also important. Different polymers with 4, 5, 6, 7, 8, and 10 methylene units ( $\beta$ -CDP4, 5, 6, 7, 8, and 10) in the comonomer B mol. are synthesized. Transfection efficiency is dependent on comonomer B length with up to 20-fold difference between polymers. Optimum transfection is achieved with the  $\beta$ -CDP6 polymer. *In vitro* toxicity varied by 1 order of magnitude and the lowest toxicity is obsd. with  $\beta$ -CDP8. The LD<sub>40</sub> of the  $\beta$ -CDP6 to mice is 200 mg/kg, making this polymer a promising agent for *in vivo* gene delivery applications.

REFERENCE COUNT: 22 THERE ARE 22 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 17 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
 ACCESSION NUMBER: 2000:401687 CAPLUS  
 DOCUMENT NUMBER: 133:48948  
 TITLE: Supramolecular complexes containing therapeutic agents  
 INVENTOR(S): Davis, Mark E.; Gonzalez, Hector; Hwang, Suzie  
 PATENT ASSIGNEE(S): California Institute of Technology, USA  
 SOURCE: PCT Int. Appl., 70 pp.  
 CODEN: PIXXD2  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND   | DATE     | APPLICATION NO. | DATE       |
|------------------------|--|----------|-----------------|------------|
| WO 2000033885          | A1   | 20000615 | WO 1999-US28547 | 19991203   |
| W:                     | AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM |          |                 |            |
| RW:                    | GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG   |          |                 |            |
| EP 1133318             | A1   | 20010919 | EP 1999-965967  | 19991203   |
| R:                     | AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO   |          |                 |            |
| JP 2002531530          | T2   | 20020924 | JP 2000-586375  | 19991203   |
| PRIORITY APPLN. INFO.: |  |          | US 1998-110847P | P 19981204 |
|                        |  |          | US 1999-127856P | P 19990405 |
|                        |  |          | WO 1999-US28547 | W 19991203 |

AB A method of prep. a supramol. complex contg. at least one therapeutic agent and a multi-dimensional polymer network is described. A supramol. complex prep'd. by a method of the invention is described. A method of treatment by administering a therapeutically effective amt. of a supramol. complex of the invention is also described. Such a supramol. complex may be used as a delivery vehicle for various therapeutic agents. The polymers include linear or branched polyethyleneimine and cyclodextrin derivs.

REFERENCE COUNT: 5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 18 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
 ACCESSION NUMBER: 2000:327605 CAPLUS  
 TITLE: Preparation and application of  $\beta$ -cyclodextrin-based polymers for gene delivery.  
 AUTHOR(S): Hwang, Sue Jean; Gonzalez, Hector;  
 Bellocq, Nathalie; Davis, Mark E.  
 CORPORATE SOURCE: Department of Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA  
 SOURCE: Book of Abstracts, 219th ACS National Meeting, San

Francisco, CA, March 26-30, 2000 (2000), BIOT-376.  
American Chemical Society: Washington, D. C.

CODEN: 69CLAC

DOCUMENT TYPE: Conference; Meeting Abstract  
LANGUAGE: English

AB Various cationic polymers, such as polylysine and polyethylenimine, have been used as gene delivery vectors, but with limited application due to their toxicity. Cyclodextrin (CD) mols. have relatively low toxicity and were used to prep. linear, cationic .beta.-cyclodextrin polymers by copolymer. difunctionalized .beta.-CD monomers with various difunctionalized comonomers. These polymers were shown to transfect cultured cells with up to 75% efficiency and with low toxicity. The issues considered in designing the polymers, including the various difunctionalized .beta.-CD monomers and comonomers used, will be discussed.

L18 ANSWER 19 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 2000:34909 CAPLUS

DOCUMENT NUMBER: 132:94914

TITLE: Preparation of linear cyclodextrin copolymers

INVENTOR(S): Gonzalez, Hector; Hwang, Suzie Sue Jean;  
Davis, Mark E.

PATENT ASSIGNEE(S): California Institute of Technology, USA

SOURCE: PCT Int. Appl., 84 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.             | KIND  | DATE     | APPLICATION NO. | DATE        |
|------------------------|---|----------|-----------------|-------------|
| WO 2000001734          | A1  | 20000113 | WO 1999-US14298 | 19990625    |
| W:                     | AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ,<br>DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS,<br>JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK,<br>MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ,<br>TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD,<br>RU, TJ, TM |          |                 |             |
| RW:                    | GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,<br>ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,<br>CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG  |          |                 |             |
| US 6509323             | B1  | 20030121 | US 1998-203556  | 19981202    |
| CA 2336390             | AA  | 20000113 | CA 1999-2336390 | 19990625    |
| AU 9948305             | A1  | 20000124 | AU 1999-48305   | 19990625    |
| AU 763114              | B2  | 20030710 |                 |             |
| EP 1093469             | A1  | 20010425 | EP 1999-931889  | 19990625    |
| R:                     | AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,<br>IE, FI   |          |                 |             |
| BR 9911754             | A   | 20011106 | BR 1999-11754   | 19990625    |
| JP 2002519482          | T2  | 20020702 | JP 2000-558134  | 19990625    |
| US 2002151523          | A1  | 20021017 | US 2002-97326   | 20020315    |
| PRIORITY APPLN. INFO.: |   |          | US 1998-91550P  | P 19980701  |
|                        |   |          | US 1998-203556  | A 19981202  |
|                        |   |          | US 1999-339818  | A3 19990625 |
|                        |   |          | WO 1999-US14298 | W 19990625  |

AB Linear cyclodextrin copolymers contg. an unoxidized and/or an oxidized cyclodextrin moiety integrated into the polymer backbone, useful as drug delivery vehicles, were prep'd. For example, substitution reaction of 6A,6D-diiodo-6A,6D-deoxy-.beta.-cyclodextrin (2-step prepn. by a known procedure given) with Na SCH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub> gave 79% 6A,6D-bis(2-aminoethylthio)-6A6D-deoxy-.beta.-cyclodextrin. This was stirred for 18 h at 80.degree. in DMF under N with an equiv of MeOC(:NH)(CH<sub>2</sub>)<sub>6</sub>C(:NH)OMe-2HCl in the presence of Et<sub>3</sub>N to give 18% of a title copolymer (CD copolymer). Media contg. doxorubicin and CD copolymer-doxorubicin complex (general complexation procedure given) were applied to cultured cell lines to show no toxicity to KB or KB-VI cell lines in the absence of doxorubicin.

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 20 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 1999:633066 CAPLUS

DOCUMENT NUMBER: 132:193484

TITLE: Study on browning inhibition of black currant beverage

AUTHOR(S): Cheng, Jianjun; Cui, Chengdong; Qu, Jun  
CORPORATE SOURCE: Food College, Northeast Agricultural University,  
Huerbin, 150030, Peop. Rep. China

SOURCE: Shipin Kexue (Beijing) (1999), 20(8), 41-44

PUBLISHER: CODEN: SPKHD5; ISSN: 1002-6630  
Zhongguo Shipin Zazhishe  
DOCUMENT TYPE: Journal  
LANGUAGE: Chinese  
AB The browning inhibition of black currant beverage was studied. Decompr. of anthocyanins was the main reason for browning of black currant beverage. The sodium hexametaphosphate, sodium pyrophosphate, sodium polyphosphate, vitamin C and .beta.-cyclodextrin could inhibit browning of black currant beverage.

L18 ANSWER 21 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 1999:607456 CAPLUS  
DOCUMENT NUMBER: 132:26733  
TITLE: New Class of Polymers for the Delivery of Macromolecular Therapeutics  
AUTHOR(S): Gonzalez, Hector; Hwang, Sue Jean; Davis, M. E.  
CORPORATE SOURCE: Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA, 91125, USA

SOURCE: Bioconjugate Chemistry (1999), 10(6), 1068-1074

PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal  
LANGUAGE: English

AB Cationic polymers show promise for the in vitro and in vivo delivery of macromol. therapeutics. Known cationic polymers, e.g., poly(L)lysine (PLL) and polyethylenimine (PEI), have been employed in native and modified forms for the delivery of plasmid DNA (pDNA) and reveal varying levels of toxicity. Here, we report the prepn. of a new class of cationic polymers that are specifically designed to deliver macromol. therapeutics. Linear, cationic, .beta.-cyclodextrin (.beta.-CD)-contg. polymers (CD-polymers) are synthesized by copolymerg. difunctionalized .beta.-CD monomers (AA) with other difunctionalized comonomers (BB) such that an AABBAABB product is formed. The .beta.-CD polymers are able to bind apprx.5 kbp pDNA above polymer to DNA (.-+.) charge ratios of 1.5, compact the bound pDNA into particles of approx. 100-150 nm in size at charge ratios above .+-5, and transfect cultured cells at charge ratios above .+-10. In vitro transfections with the new .beta.-CD-polymers are comparable to the best results obtained in our hands with PEI and Lipofectamine. Some cell line-dependent toxicities are obsd. for serum-free transfections; however, no toxicity is revealed at charge ratios as high as .+-70- in transfections conducted in 10% serum. Single IV and IP doses as high as 200 mg/kg in mice showed no mortalities.

REFERENCE COUNT: 21 THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L18 ANSWER 22 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 1997:355208 CAPLUS  
DOCUMENT NUMBER: 127:5838  
TITLE: Metallocene films: engineered blends for critical packaging applications  
AUTHOR(S): Brandenburg, Jeffrey S.; Davis, Mark  
CORPORATE SOURCE: Marketing Technical Services, New England Extrusion, Turners Falls, MA, 01376, USA  
SOURCE: SPO '96, Proceedings of International Business Forum on Specialty Polyolefins, 6th, Houston, Sept. 25-27, 1996 (1996), 213-229. Schotland Business Research: Skillman, N. J.  
CODEN: 64LMAP  
DOCUMENT TYPE: Conference  
LANGUAGE: English  
AB Engineered sealant films were from LDPE, ethylene-vinyl acetate copolymer, and polyolefins obtained with metallocene catalysts. Through proper resin selection, one can pick and choose the desired specific phys. properties of the contributing resins in order to arrive at a finished film with very unique end use properties.

L18 ANSWER 23 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN  
ACCESSION NUMBER: 1996:683617 CAPLUS  
DOCUMENT NUMBER: 125:328078  
TITLE: Enantioselective hydrogenation of prochiral C=C bonds over noble metal catalysts supported by .beta.-cyclodextrin polymer  
AUTHOR(S): Smith, Gerard V.; Cheng, Jianjun; Song, Ruozhi  
CORPORATE SOURCE: Dep. of Chemistry and Biochemistry, Southern Illinois Univ., Carbondale, IL, 62901, USA  
SOURCE: Chemical Industries (Dekker) (1996), 68(Catalysis of Organic Reactions), 479-483

CODEN: CHEIDI; ISSN: 0737-8025

PUBLISHER: Dekker  
DOCUMENT TYPE: Journal  
LANGUAGE: English

AB Platinum, palladium, rhodium, and ruthenium were deposited onto a .beta.-cyclodextrin/epichlorohydrin copolymer (.beta.-CDP) to produce enantioselective heterogeneous catalysts. These catalysts were prep'd. by refluxing a suspension of the corresponding metal salt and .beta.-cyclodextrin polymer in either mixed methanol-water or methanol-NaOH. The ability of these catalysts to catalyze the enantioselective hydrogenation of carbon-carbon double bonds was tested with di-Me itaconate (DMI) and trans-2-methyl-2-pentenoic acid (TMPA).

L18 ANSWER 24 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 1996:134119 CAPLUS  
DOCUMENT NUMBER: 124:178374  
TITLE: Laminates for form-fill-seal packaging.  
INVENTOR(S): Bauer, Frank T.; Watson, Richard K.; Davis, Mark; Kennedy, Thomas D.  
PATENT ASSIGNEE(S): W.R. Grace and Co.-Conn., USA  
SOURCE: PCT Int. Appl., 19 pp.  
DOCUMENT TYPE: Patent  
LANGUAGE: English  
FAMILY ACC. NUM. COUNT: 1  
PATENT INFORMATION:

| PATENT NO.  | KIND | DATE     | APPLICATION NO. | DATE       |
|---|------|----------|-----------------|------------|
| WO 9533621  | A1   | 19951214 | WO 1995-US6251  | 19950606   |
| W: AU, BR, CA, JP, MX, NZ<br>RW: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE |      |          |                 |            |
| CA 2138913  | AA   | 19951207 | CA 1994-2138913 | 19941222   |
| CA 2191092  | AA   | 19951214 | CA 1995-2191092 | 19950606   |
| AU 9526900  | A1   | 19960104 | AU 1995-26900   | 19950606   |
| EP 764084   | A1   | 19970326 | EP 1995-922090  | 19950606   |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, NL, PT, SE                               |      |          |                 |            |
| BR 9507937  | A    | 19971118 | BR 1995-7937    | 19950606   |
| JP 10501191   | T2   | 19980203 | JP 1996-500939  | 19950606   |
| PRIORITY APPLN. INFO.:  |      |          | US 1994-254389  | A 19940606 |
|   |      |          | US 1995-444469  | A 19950519 |
|   |      |          | WO 1995-US6251  | W 19950606 |

AB The laminates useful for food packaging, etc., comprise a core layer comprising an oxygen barrier polymer, a heat-sealable layer comprising a homogeneous ethylene-.alpha.-olefin copolymer, an outer abuse-resistant polymeric layer; and an intermediate layer disposed between the core layer and each of the heat sealable layer and the outer layer, and comprising a polymeric adhesive.

L18 ANSWER 25 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 1996:26773 CAPLUS  
DOCUMENT NUMBER: 124:177025  
TITLE: Platinum-group metal cyclodextrin complexes  
AUTHOR(S): Lewis, Larry N.; Sumpter, Chris A.; Davis, Mark  
CORPORATE SOURCE: Polymer and Inorganic Systems Laboratory, GE Research & Development, Schenectady, NY, 12301, USA  
SOURCE: Journal of Inorganic and Organometallic Polymers (1995), 5(4), 377-90  
CODEN: JIOP4; ISSN: 1053-0495  
PUBLISHER: Plenum  
DOCUMENT TYPE: Journal  
LANGUAGE: English

AB The command-cure concept is defined for a curable formulation as one with long work-life at ambient temp. and rapid cure time at elevated temp. This concept is explored for a curable silicone system, cured via hydrosilylation. CODMCl<sub>2</sub> complexes (COD = 1,5-cyclo-octadiene; M = Pt, Pd) are reacted with beta-cyclodextrin (.beta.-CD) to make 1:1 inclusion compds. (2 is the PD-contg. compd. and 4 is the Pt-contg. compd.). Compds. 2 and 4 were analyzed by <sup>1</sup>H NMR and x-ray powder diffraction. Their catalytic ability was evaluated in a model system as well as a polymeric system that gels upon cure. Surprisingly, the Pd analog 2 was a good command-cure catalyst whereas the guest compd. CODPdCl<sub>2</sub> was not active in the hydrosilylation reaction. The Pt analog, 4, was an effective command-cure catalyst while the corresponding guest, CODPtCl<sub>2</sub> was too active at low temp. in the hydrosilylation reaction. Addnl. Pt compds. and one Rh inclusion compd. were evaluated as command cure catalysts. These inclusion compds. were: 1:1 .beta.-CD:[CODRhCl]<sub>2</sub>, 1:1 .beta.-CD:CpPtMe<sub>3</sub>, (Cp = cyclopentadienyl); 1:2 .beta.-CD:MeCpPtMe<sub>3</sub>,

1:2 .beta.-CD:CODPtMe2. The effectiveness of all these inclusion compds. were evaluated in a no. of silicone systems.

L18 ANSWER 26 OF 26 CAPLUS COPYRIGHT 2003 ACS on STN

ACCESSION NUMBER: 1994:259754 CAPLUS

DOCUMENT NUMBER: 120:259754

TITLE: Structure-directing effects in the crown ether-mediated syntheses of FAU and EMT zeolites

AUTHOR(S): Burkett, Sandra L.; Davis, Mark E.

CORPORATE SOURCE: Div. Chem. Chem. Eng., California Inst. Technol., Pasadena, CA, 91125, USA

SOURCE: Microporous Materials (1993), 1(4), 265-82  
CODEN: MCMTEV; ISSN: 0927-6513

DOCUMENT TYPE: Journal  
LANGUAGE: English

AB The structure-directing effects of 15-crown-5 and 18-crown-6 in the syntheses of cubic. faujasite (FAU) and hexagonal faujasite (EMT), resp., were studied. The conformation and distribution of Na/crown ether complexes during synthesis and in the product materials were characterized by Raman spectroscopy and by solid-state <sup>1</sup>H-<sup>13</sup>C CP NMR. The balance between the structure-directing influences of alkali metal ions and crown ethers on product formation was studied. The structural relations between the 2 polymorphs of faujasite and the specificity of the crown ether structure-directing agents to these products are discussed in relation to a proposed mechanism of synthesis.